

DOUCET ASSET MANAGEMENT, LLC

NOTICE OF PRIVACY POLICY

Doucet Asset Management, LLC and its affiliates (collectively “we” or the “Advisor”) are committed to maintaining the confidentiality and security of information that we collect from our clients. This privacy notice describes the nonpublic personal information (“Information”) we collect and the circumstances under which we may share it with others. We may change our privacy policy at any time upon notice to you.

What type of Information does the Advisor collect? We collect Information directly from you when you apply for and use our financial products and services. For example, the Advisor will retain any Information that you provide during the account opening process or after you become a client and any correspondence or communications that you have with the Advisor or the third parties that perform services on our behalf. We also will have access to Information about your Advisor account, such as your securities positions, account balances and transaction activity.

How does the Advisor use the Information we collect? We use all of the Information that we collect (as described above) to provide you with the financial products and services that you request and to fulfill legal and regulatory requirements. We may share your Information to affiliated and unaffiliated persons, including service providers or other third parties that (i) provide business services to, or on behalf of, the Advisor; (ii) facilitate the processing of transactions that you request; (iii) assist us in servicing your account or offering products and services to you. We may disclose your Information when we believe disclosure is required by law or to protect our rights or property. For example, we may disclose your Information for audit or research purposes, to attorneys or other professionals, or to law enforcement and regulatory agencies. We also may share the Information with your designated agent or other parties that you authorize.

Nothing in this privacy notice is intended to interfere with the ability of the Advisor to transfer all or part of its business and/or assets to an affiliated or unaffiliated third party at any time, for any purpose, without any limitation whatsoever.

How does the Advisor protect your Information? We restrict access to your Information to those persons that need the Information to perform the services described above. We also maintain physical, electronic and procedural safeguards that comply with federal standards to guard your Information.

Who does this privacy notice apply to? This privacy notice applies to individual clients (and former clients) who use the financial products and services offered by the Advisor primarily for personal, family, or household purposes. The examples contained in this privacy notice are illustrations and are not intended to be comprehensive. This notice complies with enacted federal law and SEC and FTC regulations regarding privacy. You may have additional rights under other foreign or domestic laws that may apply to you.

Who should I contact if I want more information? Please let us know if we can answer any question you may have regarding our privacy policies. You may contact us via telephone at (205) 414-9788.